

Square lattice Consider a square lattice in two dimensions with the crystal potential:

$$U(x, y) = -4U \cos(2\pi x/a) \cos(2\pi y/a). \quad (16)$$

By using Fourier analysis in 2D, find approximately the energy gap (E_g) at the corner point $(\pi/a, \pi/a)$ of the Brillouin zone.

As described in Problem 1 of this set and as you know by now anyway, the square crystal lattice has a reciprocal lattice that is also square. The first Brillouin zone is a square of side $2\pi/a$.

We need to know the components of the Fourier series for $U(x, y)$. These can be found directly by integration of $U(x, y)e^{-i\vec{k}\cdot(x, y)}$ or by noting that

$$U(x, y) = -4U \cos(2\pi x/a) \cos(2\pi y/a) \quad (17)$$

$$= -U [2 \cos(2\pi x/a)] [2 \cos(2\pi y/a)] \quad (18)$$

$$= -U \left(e^{2\pi x/a} + e^{-2\pi x/a} \right) \left(e^{2\pi y/a} + e^{-2\pi y/a} \right) \quad (19)$$

$$= -U \left(e^{2\pi x/a + 2\pi y/a} + e^{2\pi x/a - 2\pi y/a} + e^{-2\pi x/a + 2\pi y/a} + e^{-2\pi x/a - 2\pi y/a} \right) \quad (20)$$

$$= -U \left(e^{i\vec{G}_{++}\cdot\vec{x}} + e^{i\vec{G}_{+-}\cdot\vec{x}} + e^{i\vec{G}_{-+}\cdot\vec{x}} + e^{i\vec{G}_{--}\cdot\vec{x}} \right) \quad (21)$$

$$= \sum_{\vec{G}} C_{\vec{G}} e^{i\vec{G}\cdot\vec{x}} \quad (22)$$

$$\vec{G}_{\pm\pm} = \pm \frac{2\pi}{a} \hat{x} \pm \frac{2\pi}{a} \hat{y} \quad \text{and } C_{\vec{G}} = -U \text{ for } \vec{G} = \vec{G}_{\pm\pm} \text{ and } C_{\vec{G}} = 0 \text{ for all other } \vec{G}.$$

Thus $\vec{k} = \left(\frac{\pi}{a}, \frac{\pi}{a} \right)$ corresponds to the corner on the first Brillouin zone (1st B.Z.) where Bragg scattering of electron waves can occur if the following Bragg condition is fulfilled:

$$2\vec{k} \cdot \vec{G} = G^2 \quad \Rightarrow \quad \vec{k} \cdot \frac{1}{2}\vec{G} = \left(\frac{G}{2} \right)^2 \Rightarrow \vec{k} \cdot \hat{G}_i = G_i / 2$$

$$\vec{k} \cdot \hat{G}_{++} = \left(\frac{\pi}{a}, \frac{\pi}{a} \right) \cdot \left(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}} \right) = \frac{\pi}{a} \sqrt{2} = \frac{1}{2} |\vec{G}_{++}| = \frac{1}{2} \frac{2\pi}{a} \sqrt{2}$$

Thus, because \vec{G}_{++} fulfills this condition and is one of the four reciprocal lattice vectors in the Fourier series of the crystal potential, the band gap (E_g) is two times the magnitude of the Fourier coefficient that corresponds to \vec{G}_{++} and is $E_g = 2|C_{\vec{G}_{++}}| = 2|U|$.